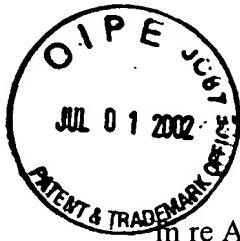


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#6:  
BT  
7-16-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Blair et al.

Application No.: 09/782,620

Filed: 02/13/2001

For: METHOD AND SYSTEM FOR  
EXTRACTING INFORMATION FROM  
RFQ DOCUMENTS AND  
COMPRESSING RFQ FILES INTO A  
COMMON RFQ FILE TYPE

) Group Art Unit: 2177

) Examiner: Unassigned

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Technology Center 2100

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicant cites the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name Kim R. Jessum Signature Kim R. Jessum Date of Deposit June 26, 2002

In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO-1449 (3 sheets) are provided.

U.S. Patents

<u>Patent No.</u>	<u>Issue Date</u>	<u>Patentee</u>
✓3,581,072	5/25/71	Nymeyer
3,863,060	1/28/75	Rode, et al.
4,597,045	6/24/86	Kiuchi
4,674,044	6/16/87	Kalmus, et al.
4,789,928	12/6/88	Fujisaki
4,799,156	1/17/89	Shavit, et al.
4,845,625	7/4/89	Stannard
4,992,940	2/12/91	Dworkin
5,136,501	8/4/92	Silverman, et al.
5,193,056	3/9/93	Boes
5,243,515	9/7/93	Lee
5,297,032	3/22/94	Trojan, et al.
5,375,055	12/20/94	Togher, et al.
5,394,324	2/28/95	Clearwater
5,402,336	3/28/95	Spiegelhoff, et al.
5,606,602	2/25/97	Johnson, et al.
5,629,982	5/13/97	Micali
5,640,569	6/17/97	Miller, et al.
5,664,115	9/2/97	Fraser

5,684,963	11/4/97	Clement
5,689,652	11/18/97	Lupien, et al.
5,715,402	2/3/98	Popolo
5,727,165	3/10/98	Ordish, et al.
5,758,327	5/26/98	Gardner, et al.
5,758,328	5/26/98	Giovannoli
5,765,138	6/9/98	Aycock, et al.
5,774,873	6/30/98	Berent, et al.
5,794,207	8/11/98	Walker, et al.
5,794,219	8/11/98	Brown
5,797,127	8/18/98	Walker, et al.
5,799,151	8/25/98	Hoffer
5,799,285	8/25/98	Klingman
5,802,502	9/1/98	Gell, et al.
5,803,500	9/8/98	Mossberg
5,809,483	9/15/98	Broka, et al.
5,826,244	10/20/98	Huberman
5,832,496	11/03/98	Anand, et al.
5,835,896	11/10/98	Fisher, et al.
5,862,223	1/19/99	Walker, et al.
5,890,138	3/30/99	Godin, et al.
5,897,621	4/27/99	Boesch, et al.
5,905,974	5/18/99	Fraser, et al.
5,905,975	5/18/99	Ausubel

5,915,209	6/22/99	Lawrence
5,966,699	10/12/99	Zandi
6,014,627	1/11/00	Togher, et al.
6,021,398	2/1/00	Ausubel
6,023,685	2/8/00	Brett, et al.
6,044,363	3/28/00	Mori, et al.
6,055,518	4/25/00	Franklin, et al.
6,058,379	5/2/00	Odom, et al.
6,061,663	5/9/00	Bloom, et al.
6,078,906	6/20/00	Huberman
6,119,229	9/12/00	Martinez, et al.
6,151,589	11/21/00	Aggarwal, et al.
6,161,099	12/12/00	Harrington, et al.
6,199,050	3/6/01	Alaia, et al.
6,266,652	07/24/01	Godin, et al.
6,366,891	4/2/2002	Feinberg

Foreign Patents

<u>Publication No.</u>	<u>Publication Date</u>	<u>Country</u>
✓ 0399850A	11/28/90	EP
~WO 92/15174	9/3/92	WIPO
✓ WO 97/37315	10/9/97	WIPO
WO 98/34187	8/6/98	WIPO
✓ WO 99/63461	12/9/99	WIPO

✓ 409101994A

4/97

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✓ 410078992A

3/98

JP

Other Publications

✓ Andrews, "Auctions Catch the Interest of Hobbyists and Big Business", August 24, 1998

✓ Associated Press, "Auction on Web is Buyer's Market", April 6, 1998

✓ "BroadVision Developing First Interactive Commerce Management System To Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture", Business Wire, p5150152, May 15, 1995

✓ Danny Cohen, "Computerized Commerce", Information Processing 89, August 28-September 1, 1989

✓ FairMarket Launches New Self-Serve Auctions", Business Wire, p6161495, June 16, 1998

✓ Jahnke, "How Bazaar", CIQ Web Business Magazine, August 27, 1998

Jean-Pierre Banatre, et al., "The Design and Building of Enchere, a Distributed Electronic Marketing System" Communications of the ACM, No. 1, January 29, 1986

✓ Lee, "Do electronic marketplaces lower the price of goods?", Communications of the PCM, v41n1 pp 73-80, January 1998

Malone, et al., "The Logic of Electronic Markets", Harvard Business Review, No. 893II, May-June 1989

"Moai Technologies Introduces New categories of Business to Business Auction Software...", Business Editors and Computer Writers, March 16, 1998

Kikuchi, et al., "Multi-Round Anonymous Auction Protocols", IEICE Trans. Inf. & Syst., vol.E82-D, No.4, April 1999

✓ "Online bidding software", Electronic Buyers' News, Issue 1072, p86, 1/6p, August 25, 1997

\* ✓ Sairamesh, et al., "Economic Framework for Pricing and Charging Digital Libraries", D-Lip Magazine, February 1996

✓ "Sold!...To the Lowest Bidder", Computer Finance, v6, n2, July 1995

✓ "Venture Capitalists Fund Two Massachusetts Internet Related Companies", Boston Globe, January 14, 1998

✓ Vigoroso, "Buyers prepare for brave new world of e-commerce", Purchasing, v126, n6, pS4(1), April 22, 1999

✓ Von der Fehr, et al., "Predatory bidding in sequential auctions", Oxford Economics Papers, v46, n3, p345(12), July 1994

✓ "What you need to know to bid in FCC's narrowband auction", Washington Telecom News, v2, n26, p6(2), June 27, 1994

- Wilder, "What's Your Bid? – FreeMarkets' real-time online bidding technology lets clients drive downcosts and improve product value", InformationWeek, November 10, 1997

✓ Woolley, "E-muscle", Forbes, March 9, 1998

Applicant respectfully requests that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO-1449 (3 sheets) with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

**EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

June 26, 2002  
Date

By:   
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of

		<i>Complete if known</i>	
		Application Number	09/782,62
		Filing Date	February 13, 2001
		First Named Inventor	Blair et al.
		Group Art Unit	2177
		Examiner Name	Unassigned
Sheet	of	Attorney Docket Number	046700-5035

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JUL 01 2002

**U.S. PATENT DOCUMENTS**

Exr Initials	U.S. Patent Document Number TRADEMARK	Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Kind Code (if known)		
	3,581,072	Nymeyer	5/25/71
	3,863,060	Rode, et al.	1/28/75
	4,597,045	Kiuchi	6/24/86
	4,674,044	Kalmus, et al.	6/16/87
	4,789,928	Fujisaki	12/6/88
	4,799,156	Shavit, et al.	1/17/89
	4,845,625	Stannard	7/4/89
	4,992,940	Dworkin	2/12/91
	5,136,501	Silverman, et al.	8/4/92
	5,193,056	Boes	3/9/93
	5,243,515	Lee	9/7/93
	5,297,032	Trojan, et al.	3/22/94
	5,375,055	Togher, et al.	12/20/94
	5,394,324	Clearwater	2/28/95
	5,402,336	Spiegelhoff, et al.	3/28/95
	5,606,602	Johnson, et al.	2/25/97
	5,629,982	Micali	5/13/97
	5,640,569	Miller, et al.	6/17/97
	5,664,115	Fraser	9/2/97
	5,684,963	Clement	11/4/97
	5,689,652	Lupien, et al.	11/18/97
	5,715,402	Popolo	2/3/98
	5,727,165	Ordish, et al.	3/10/98
	5,758,327	Gardner, et al.	5/26/98
	5,758,328	Giovannoli	5/26/98
	5,765,138	Aycock, et al.	6/9/98
	5,774,873	Berent, et al.	6/30/98
	5,794,207	Walker, et al.	8/11/98
	5,794,219	Brown	8/11/98
	5,797,127	Walker, et al.	8/18/98
	5,799,151	Hoffer	8/25/98
	5,799,285	Klingman	8/25/98
	5,802,502	Gell, et al.	9/1/98
	5,803,500	Mossberg	9/8/98
	5,809,483	Broka, et al.	9/15/98
	5,826,244	Huberman	10/20/98
	5,832,496	Anand, et al.	11/03/98
	5,835,896	Fisher, et al.	11/10/98
	5,862,223	Walker, et al.	1/19/99

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2

of 34

Complete if known

Application Number 09/782,622

Filing Date February 13, 2001

First Named Inventor Blair et al.

Group Art Unit 2177

Examiner Name Unassigned

Attorney Docket Number 046700-5035

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5,890,138		Godin, et al.	3/30/99
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5,905,974		Fraser, et al.	5/18/99
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6,023,685		Brett, et al.	2/8/00
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6,055,518		Franklin, et al.	4/25/00
6,058,379		Odom, et al.	5/2/00
6,061,663		Bloom, et al.	5/9/00
6,078,906		Huberman	6/20/00
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6,151,589		Aggarwal, et al.	11/21/00
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6,199,050		Alaia, et al.	3/6/01
6,266,652		Godin, et al.	07/24/01
6,366,891		Feinberg	4/2/2002

## FOREIGN PATENT DOCUMENTS

Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sub>1</sub>
	Country Code	Number	Kind Code (if known)			
	EP	0399850A			11/28/90	
	WIPO	WO 92/15174			9/3/92	
	WIPO	WO 97/37315			10/9/97	
	WIPO	WO 98/34187			8/6/98	
	WIPO	WO 99/63461			12/9/99	
	JP	409101994A			4/97	
	JP	410078992A			3/98	

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sub>1</sub>
	Andrews, "Auctions Catch the Interest of Hobbyists and Big Business", August 24, 1998	
	Associated Press, "Auction on Web is Buyer's Market", April 6, 1998	
	"BroadVision Developing First Interactive Commerce Management System To Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture", Business Wire, p5150152, May 15, 1995	

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3

of 3

Application Number	09/782,62
Filing Date	February 13, 2001
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Attorney Docket Number	046700-5035

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Kikuchi, et al., "Multi-Round Anonymous Auction Protocols", IEICE Trans. Inf. & Syst., vol.E82-D, No.4, April 1999
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Wilder, "What's Your Bid? - FreeMarkets' real-time online bidding technology lets clients drive downcosts and improve product value", InformationWeek, November 10, 1997
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